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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: R. DILLEY ET AL.) Docket No: H0004601)
For: HEAT EXCHANGER WITH FLOW DIRECTOR) Group Art Unit: To be assigned))
Serial No.: 10/632590) Examiner: To be assigned)
Filed: August 1, 2003)

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Hon. Commissioner:

In accordance with the duty of disclosure under 37 C.F.R. §1.56 and pursuant to 37 C.F.R. §1.98, Applicants hereby notify the U.S. Patent of the documents listed below and identified on the attached form PTO/SB/08 for review by the Examiner during the examination of the above referenced application. Copies of the documents are provided for the Examiner's use.

The present Information Disclosure Statement is being filed (1) no later than three months from the application's filing date or (2) before the mailing date of the first Office Action on the merits (whichever is later), and therefore no certification under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17 (p) is required.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail in an envelope addressed to Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

Date)



U.S. PATENT DOCUMENTS				
US PATENT	DATE	NAME		
1971842	01/15/1934	Young		
2990163	06/27/1961	Farrell		
3217793	11/16/1965	Coe		
3983932	10/05/1976	Yamaguchi et al.		
4574007	03/04/1986	Yearout et al.		
4728468	03/01/1988	Duke		
4905895	03/06/1990	Grebe et al.		
5062475	11/05/1991	Bemisderfer et al.		
5150278	09/22/1992	Lynes et al.		
5209289	05/11/1993	Haushalter		
5211222	05/18/1993	Shinmura		
5505257	04/09/1996	Goetz		
5669438	09/23/1997	Beales et al.		
5927392	07/27/1999	Youn et al.		
6065530	05/23/2000	Austin et al.		
6125926	10/03/2000	Okamoto et al.		
6247523	06/19/2001	Shibagaki et al.		
6435268	08/20/2002	Bhatti et al.		
US20020074105A1	06/20/2002	Hayashi et al.		

FORTEIGN PATENT DOCUMENTS			
FOREIGN PATENT	DATE	ASSIGNEE	
JP 410331724A	12/15/1998	Calsonic Corp.	
JP 411303689A	11/02/1999	Tokyo Radiator MFG Co., Ltd.	

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicants respectfully request that the listed documents be considered by the Examiner and be made of record in the present application and that an initialed copy of the Form PTO/SB/08 be returned in accordance with MPEP §609.

Date: Oct. 22, 03

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Respectfully submitted,

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PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031
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Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/632,590		
Filing Date	August 1, 2003		
First Named Inventor	R. Dilley et al.		
Art Unit	TBD		
Examiner Name	TBD		
Attorney Docket Number	H0004601		

			U. S. PATENT	DOCUMENTS	Deliver Line When
Examiner Initials*	Cite No.1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ^{2 (if known)}	04/45/4024	Voung	
		^{US-} 1971842	01/15/1934	Young	
		^{US-} 2990163	06/27/1961	Farrell	
		US- 3217793	11/16/1965	Coe	
		^{US-} 3983932	10/05/1976	Yamaguchi et al.	
		^{US-} 4574007	03/04/1986	Yearout et al.	
		^{US-} 4728468	03/01/1988	Duke	
		US- 4905895	03/06/1990	Grebe et al.	
		US- 5062475	11/05/1991	Bemisderfer et al.	
		^{US-} 5150278	09/22/1992	Lynes et al.	
		US- 5209289	05/11/1993	Haushalter	
	1	US- 5211222	05/18/1993	Shinmura	
	<u> </u>	^{US-} 5505257	04/09/1996	Goetz	
		^{US-} 5669438	09/23/1997	Beales et al.	
		US- 5927392	07/27/1999	Youn et al.	
		US- 6065530	05/23/2000	Austin et al.	
		US- 6125926	10/03/2000	Okamoto et al.	
		US- 6247523	06/19/2001	Shibagaki et al.	
	1	US- 6435268	08/20/2002	Bhatti et al.	
	1	US- 20020074105A1	06/20/2002	Hayashi et al.	

Examiner	Cite	FORE Foreign Patent Document	Publication	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
Initials*	No.1	Country Code ³ "Number ⁴ "Kind Code ⁵ (if known)	Date MM-DD-YYYY	Applicant of Gred Bocument	Or Relevant Figures Appear	Т
		JP 410331724 A	12/15/1998	Calsonic Corp.		L
		JP 411303689 A	11/02/1999	Tokyo Radiator		┡
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	Date	
Examiner		
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ¹kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language

Iranslation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, use uncluding gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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